

CÔNG TY CỔ PHẦN
CHỨNG KHOÁN DẦU KHÍ
PETROVIETNAM SECURITIES
JOINT STOCK COMPANY

Số/ No: 165 /CV-CKDK

CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM
Độc lập – Tự do – Hạnh phúc
THE SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom - Happiness

Hà Nội, ngày 30 tháng 01 năm 2026

Hanoi, January 30, 2026

**CÔNG BỐ THÔNG TIN TRÊN CÔNG THÔNG TIN ĐIỆN TỬ CỦA ỦY BAN
CHỨNG KHOÁN NHÀ NƯỚC VÀ CÁC SỞ GIAO DỊCH CHỨNG KHOÁN
PUBLIC INFORMATION DISCLOSURE ON THE PORTAL OF THE STATE
SECURITIES COMMISSION OF VIETNAM AND STOCK EXCHANGES**

Kính gửi: - Ủy ban Chứng khoán Nhà nước
- Sở Giao dịch chứng khoán Việt Nam
- Sở Giao dịch Chứng khoán Hà Nội
To: - *State Securities Commission of Vietnam*
- *Vietnam Stock Exchange*
- *Hanoi Stock Exchange*

Công ty: Cổ phần Chứng khoán Dầu khí

Name of organization: PetroVietnam Securities Joint Stock Company

Mã chứng khoán/ Stock code: PSI

- Địa chỉ: Tầng 2 Tòa nhà Hanoitourist Số 18 Lý Thường Kiệt, Phường Cửa Nam, Thành phố Hà Nội, Việt Nam.

Address: 2nd Floor, Hanoitourist Building, 18 Ly Thuong Kiet Street, Cua Nam Ward, Hanoi City, Vietnam.

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Person in charge of information disclosure: Nguyễn Thanh Nghị

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Address: 2nd Floor, Hanoitourist Building, 18 Ly Thuong Kiet Street, Cua Nam Ward, Hanoi City, Vietnam.

Điện thoại (di động, cơ quan, nhà riêng)/ Phone (mobile, office, home):

Loại thông tin công bố 24 giờ bất thường theo yêu cầu định kỳ

Type of disclosure: 24-hour abnormal requested periodic

Nội dung thông tin công bố/ Contents of disclosure (*):

Báo cáo Quản trị năm 2025/ Report on Corporate Governance 2025

Thông tin này đã được công bố trên trang thông tin điện tử của công ty vào ngày 30/01/2026 tại đường dẫn: <http://psi.vn>

This information was disclosed on the electronic information portal of the company on January 30, 2026 at: <http://psi.vn>

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố.

We hereby certify that the information provided is true and correct and we bear the full responsibility before the law.

Địa chỉ nhận/Receiving address:

- Như trên/As above;
- Chủ tịch HĐQT(để b/c)/Chairman of the Board of Directors (for reporting)
- Lưu VT/ Save in Clerical Office

**NGƯỜI ĐƯỢC ỦY QUYỀN
CÔNG BỐ THÔNG TIN**
*Authorized representative for
information disclosure*



NGUYỄN THANH NGHỊ



CORPORATE GOVERNANCE REPORT
(For year 2025)

To: - State Securities Commission of Vietnam;
- Vietnam Stock Exchange;
- Hanoi Stock Exchange;
- Ho Chi Minh City Stock Exchange.

- Listed Company: PetroVietnam Securities Joint Stock Company
- Head Office Address: 2nd Floor, Hanoitourist Building, No. 18 Ly Thuong Kiet Street, Cua Nam Ward, Hanoi City, Vietnam.
- Tel: 024. 3934 3888 Fax: 024. 3934 3999 Email: mail.psi.vn
- Charter capital: VND 598.413 billion.
- Stock ticker: PSI
- Corporate Governance Model: General Meeting of Shareholders (GMS), Board of Directors (BOD), Supervisory Board, and General Director/Director
- Internal Audit Function: Implemented.

I. ACTIVITIES OF THE GENERAL MEETING OF SHAREHOLDERS

Information on meetings and resolutions/decisions of the General Meeting of Shareholders (including resolutions passed via written opinions)::

No.	Resolution/Decision Number	Date	Content
01	01/NQ-DHCD-CKDK	25/04/2025	<ul style="list-style-type: none">- Report on business performance results for the year 2024;- Business plan report for the year 2025;- Audited financial report for the year 2024;- Report on the activities of the Board of Directors for the year 2024 and the activity plan for the year 2025;- Report on the evaluation of the Supervisory Board's activities regarding the business results and the performance of the Board of Directors and the Executive Board in 2024;- Self-assessment report on the activities of the Supervisory Board and each member in 2024;- Proposal for selecting an independent auditing unit to audit the financial statements and financial safety indicators report for the first six months and the entire year of 2025;- Report on the settlement of the remuneration fund for 2024 and the remuneration fund plan

No.	Resolution/Decision Number	Date	Content
			<p>for 2025 of the Board of Directors and the Supervisory Board;</p> <ul style="list-style-type: none"> - Profit distribution plan, allocation and use of funds for the year 2024; - Report on the issuance of PSI bonds in 2024;

II. BOARD OF DIRECTORS (2025)

1. Members of the Board of Directors

No.	Member of Board of Director	Position	Start date/no longer a member of the Board of Directors	Number of board meetings attended	Meeting attendance rate	Reason for not attending the meeting
1	Mr. Nguyen Anh Tuan	Chairman	Start: 26/04/2017	04/04	100%	
2	Ms. Ho Viet Ha	Member	Start: 15/01/2020	04/04	100%	
3	Mr. Trinh The Phuong	Member	Start: 26/04/2017	04/04	100%	
4	Mr. Tran Tuan Anh	Member	Start: 28/04/2022	04/04	100%	
6	Ms. Phan Quynh Nga	Member	Start: 26/04/2023	04/04	100%	

2. Supervisory activities of the Board of Directors over the Management Board

To ensure effective management in line with the resolutions and directions of the BOD, the Board regularly supervises the Management Board and relevant units through clear assignment of responsibilities, evaluation of executive performance, and monitoring of compliance and transparency. The BOD conducted supervision through:

- Direct meetings chaired by the Chairman with business divisions and issuance of management conclusions;
- Review and assessment of business plan implementation via reports and quarterly submissions from the Management Board;
- Review of reports and proposals from supporting committees to monitor compliance and strategic alignment.

3. Activities of Committees under the Board of Directors

3.1 Internal Audit Department

The Internal Audit Department acts as an assisting unit to the BOD. In 2025, it performed the following key tasks:

- Review and coordination in updating internal policies, regulations, and procedures in compliance with applicable laws;

- Inspection of operational activities of company units within its functional scope;
- Ongoing review of the implementation of BOD resolutions and directives;
- Supervision and evaluation of enterprise-wide risk management activities;
- Review and analysis of quarterly financial statements and the audited financial statements for 2024;
- Coordination in performance assessment and internal audits;

Internal professional training to ensure effective fulfillment of internal audit responsibilities.

3.2 Service Policy Council

The Council convenes on a monthly basis to review and approve service implementation lists.

3.3 Investment Council

The Investment Council convenes meetings to discuss and approve investment proposals prior to execution.

4. Resolutions of the Board of Directors in 2025

4.1 Các Nghị quyết Hội đồng quản trị

No.	Resolution No.	Date	Content	Approval Ratio
1	01/NQ - HĐQT- CKDK	13/01/2025	Approval of transactions with related persons of the Company's shareholders	5/5
2	02/NQ - HĐQT- CKDK	21/01/2025	Resolution on implementation of establishment, renewal, and supplementation of credit facilities at credit institutions	5/5
3	03/NQ - HĐQT- CKDK	24/01/2025	Issuance of the Risk Policy for 2025	5/5
4	04/NQ - HĐQT- CKDK	24/01/2025	Board of Directors meeting for Q4.2024	5/5
5	05/NQ - HĐQT- CKDK	06/02/2025	Grouping of shareholders holding shares of Vinaconex Trading Development Joint Stock Company (VCTD) – Vietnam Equity Holding Fund	5/5
6	06/NQ - HĐQT- CKDK	04/03/2025	Issuance of amendments and supplements to the Regulations on management of capital representatives at enterprises and projects, and supervision and management of investments	5/5
7	07/NQ - HĐQT- CKDK	10/03/2025	Plan for organization of the 2025 Annual General Meeting of Shareholders	5/5
8	08/NQ - HĐQT- CKDK	18/03/2025	Consolidation of personnel assuming the position in charge of accounting	5/5
9	09/NQ - HĐQT- CKDK	04/04/2025	Approval of draft contents and documents to be submitted to the	5/5

No.	Resolution No.	Date	Content	Approval Ratio
			2025 Annual General Meeting of Shareholders	
10	10/NQ - HDQT- CKDK	26/04/2025	Implementation of establishment of credit facilities at credit institutions	5/5
11	11/NQ - HDQT- CKDK	28/04/2025	Board of Directors meeting for Q1.2025	5/5
12	13/NQ - HDQT- CKDK	06/06/2025	Implementation of establishment of credit facilities at credit institutions	5/5
13	14/NQ -HDQT - CKDK	10/06/2025	Board of Directors meeting for Q2.2025	5/5
14	15/NQ -HDQT - CKDK	25/06/2025	Approval of transactions with Related Persons	5/5
15	16/NQ - HDQT- CKDK	03/07/2025	Selection of the independent audit firm for 2025	5/5
16	17/NQ - HDQT- CKDK	04/08/2025	Approval of transactions with related persons of the Company's shareholders	5/5
17	18/NQ - HDQT- CKDK	08/08/2025	Approval of transactions with related persons of the Company's shareholders	5/5
18	19/NQ - HDQT- CKDK	20/08/2025	Approval of transactions with Related Persons	5/5
19	20/NQ - HDQT- CKDK	21/08/2025	Approval of transactions with internal persons of the Company	5/5
20	21/NQ - HDQT- CKDK	25/09/2025	Approval of transactions with internal persons and related persons of PSI	5/5
21	22/NQ - HDQT- CKDK	02/10/2025	Approval of transactions with related persons of the Company's shareholders	5/5
22	23/NQ - HDQT- CKDK	23/10/2025	Board of Directors meeting for Q3.2025	5/5
23	25/NQ - HDQT- CKDK	22/12/2025	Consolidation of personnel in charge of accounting at PSI	5/5
24	26/NQ - HDQT- CKDK	30/12/2025	Approval of the plan for issuance of PSI bonds	5/5

4.2 Decisions of the Chairman of the Board of Directors

No.	Resolution No.	Date	Content
1	07/QĐ-HĐQT-CKDK	24/01/2025	Issuance of the 2025 Risk Policy of PetroVietnam Securities Joint Stock Company
2	09/QĐ-HĐQT-CKDK	06/03/2025	Issuance of the Regulations on management of capital representatives at enterprises and projects and supervision of investment activities
3	11/QĐ-HĐQT-CKDK	14/03/2025	Acceptance of Ms. Nguyen Thi Hang
4	12/QĐ-HĐQT-CKDK	18/03/2025	Dismissal of the person in charge of the Company's accounting with respect to Ms. Nguyen Thi Hong
5	13/QĐ-HĐQT-CKDK	18/03/2025	Assignment of duties as the person in charge of the Company's accounting to Ms. Nguyen Thi Hang
6	14/QĐ-HĐQT-CKDK	27/03/2025	Appointment of replacement capital representative for PSI's contributed capital/shares at enterprises/projects
7	28/QĐ-HĐQT-CKDK	27/05/2025	Amendment of operational procedures related to the KRX system
8	29/QĐ-HĐQT-CKDK	28/05/2025	Appointment of replacement capital representative for PSI's contributed capital/shares at enterprises/projects
9	35/QĐ-HĐQT-CKDK	18/6/2025	Assignment of duties to Mr. Huynh Thanh Nam – Deputy Director of Ho Chi Minh City Branch
10	58/QĐ-HĐQT-CKDK	07/11/2025	Supplementation and adjustment of personnel composition of the Task Force for upgrading and improvement of the securities trading core system
11	59/QĐ-HĐQT-CKDK	07/11/2025	Establishment of the Steering Committee for organizing the 20th anniversary of PSI
12	60/QĐ-HĐQT-CKDK	20/11/2025	Termination of the labor contract with respect to Ms. Pham Thi Van
13	66/QĐ-HĐQT-CKDK	22/12/2025	Assignment of duties as the person in charge of accounting to Mr. Le Duc Cuong
14	67/QĐ-HĐQT-CKDK	22/12/2025	Termination of the labor contract with respect to Ms. Nguyen Thi Hang
15	72/QĐ-HĐQT-CKDK	31/12/2025	Termination of the labor contract with respect to Ms. Tran Tu Lan
16	73/QĐ-HĐQT-CKDK	31/12/2025	Termination of the validity of the Power of Attorney for information disclosure
17	74/QĐ-HĐQT-CKDK	31/12/2025	Appointment of Mr. Nguyen Thanh Nghi

III. SUPERVISORY BOARD (2025)

1. Members of the Supervisory Board

No.	Member Name	Position	Start/End Date	Qualification
1	Ms. Nguyen Thi An	Head of Supervisory Board	Start: 27/04/2018 – Head from 26/04/2023	Bachelor of Finance
2	Mr. Bui The Anh	Member	Start: 26/04/2017	Bachelor of Banking & Finance
3	Ms. Nguyen Thi Thu	Member	Start: 26/04/2023	Bachelor of Economics

2. Meetings of the Supervisory Board

No.	Member Name	Position	Meetings Attended	Attendance Rate	Reason for absence
1	Ms. Nguyen Thi An	Head of SB	2/2	100%	
2	Mr. Bui The Anh	Member	2/2	100%	
3	Ms. Nguyen Thi Thu	Member	2/2	100%	

3. Supervisory activities over the BOD and Management Board

The Supervisory Board (SB) consists of three (03) members elected by the General Meeting of Shareholders (GMS) to perform supervisory activities in accordance with the provisions of law and the Company's Charter. In 2025, the SB coordinated with the Board of Directors (BOD) and the Management Board (MB) to carry out inspection, supervision, and to make recommendations for the implementation of necessary changes at PSI, thereby contributing to improving the effectiveness of risk management activities and enhancing the quality of corporate governance. Specifically:

- Reviewed and appraised the audited financial statements for 2024; the six-month business performance report for the first half of 2025 of the Management Board; and the six-month performance report of the Board of Directors for 2025;
- According to the SB's assessment, the BOD and the Management Board have basically fulfilled the planned targets assigned by the General Meeting of Shareholders for 2025, while complying with processes and regulations in corporate management and operations in accordance with the Company's Charter, internal governance regulations, and applicable laws. Specifically:

➤ For the Board of Directors:

- The BOD organized its activities in compliance with the provisions of the Company's Charter and Corporate Governance Regulations, ensuring the required number of meetings and attendance by members to meet legal validity and meeting effectiveness requirements.

- Provided specific and feasible implementation orientations and closely supervised the implementation of resolutions of the General Meeting of Shareholders and the Company's business and production activities.
- The BOD issued orientations and supervised the implementation and completion of the Company's 2025 business plan.

➤ **For the Management Board**

- Organized and implemented business activities in accordance with the directives and resolutions of the Board of Directors.
- Basically fulfilled the assigned financial targets and action objectives.

4. Coordination of activities between the Supervisory Board and the activities of the Board of Directors, the Executive Management Board, and other managerial officers:

In 2025, with the coordination of the Board of Directors (BOD) and the Management Board (MB), the Supervisory Board (SB) continued to carry out inspection, supervision, and to make recommendations for the implementation of necessary changes at PSI, thereby contributing to improving the effectiveness of risk management activities and enhancing the quality of corporate governance. Specifically:

- The BOD, the MB, and relevant units provided information to the Supervisory Board for inspection and supervision purposes.
- The Supervisory Board received coordination and cooperation in its supervisory activities from the BOD and relevant units within the Company.
- The BOD, the MB, and relevant departments within the Company did not create any obstruction to the activities of the Supervisory Board.

5. Other activities of the Supervisory Board:

In 2025, the Supervisory Board attended quarterly meetings of the Board of Directors and meetings held upon request, in order to obtain a general understanding of the Company's business operations and to provide opinions to the Board of Directors and the Board of Management in the implementation of the objectives approved by the General Meeting of Shareholders. In addition, the Supervisory Board carried out the following activities:

- Reviewed and appraised the financial statements for 2024.
- Reviewed and appraised the financial statements for the first six (06) months of 2025.
- Coordinated with the Internal Audit Department and the Risk Management and Internal Control Department to conduct periodic inspection activities, mainly from a compliance perspective and with a focus on certain key areas.

6. Management board

No.	Members of the Management Board	Date of birth	Professional qualifications	Date of appointment/dismissal as Management Board member
1	Ms. Phan Quynh Nga	17/01/1979	Master of Business Administration	10/02/2022 – Deputy General Director of the Company 27/04/2023 – General Director of the Company 23/06/2023 – Concurrently General Director of Ho Chi Minh City Branch 10/10/2024: Ceased concurrently holding the position of General Director of Ho Chi Minh City Branch
3	Ms. Luu Thi Viet Ha	14/04/1982	Bachelor of Economics	11/09/2024 – Deputy General Director of the Company 10/10/2024 – Concurrently General Director of Ho Chi Minh City Branch
4	Ms. Pham Thi Hai Van	01/12/1975	Bachelor of Economics and Foreign Languages	20/7/2010 - Director of Vung Tau Branch
5	Ms. Dao Thi Phuoc	22/05/1977	Bachelor of Accounting Bachelor of Law	29/7/2019 - Director of Da Nang Branch

1. Chief Accountant

Full name	Date of birth	Professional qualifications	Date of appointment/dismissal
Ms. Nguyen Thi Hong	19/09/1979	Bachelor of Accounting, Business Administration	01/04/2024: Head of Finance and Accounting Department concurrently in charge of accounting 18/03/2025: Dismissal
Ms. Nguyen Thi Hang	01/05/1985	Master of Finance	17/03/2025: Head of Finance and Accounting Department 22/03/2025: Head of Finance and Accounting Department concurrently in charge of accounting 22/12/2025: Dismissal
Mr. Le Duc Cuong	18/10/1986	Bachelor of Corporate Accounting	09/12/2025: Deputy Head of Accounting Department 22/12/2025: Deputy Head of Accounting Department concurrently in charge of accounting

2. Corporate governance training

The Company participated in and fully complied with the implementation in accordance with applicable regulations.

3. Related parties and Insiders

3.1. List of related parties of the Company

❖ Board of Director

Note: Owner Certificate Number: ID/Passport No. (for individual) or Business Registration Certificate No., License of Operation No. or equivalent legal document (for institution):*

No.	Name of Entity/Individual	Securities Trading Account (if any)	Position in the Company (if any)	ID/Passport No.*, Date of Issue, Place of Issue	Registered Address / Contact Address	Date of Becoming a Related Person	Date of Termination of Relationship	Reason	Nature of Relationship
1	Mr. Nguyen Anh Tuan	045C50152	Chairman of the BOD			30/03/2016			
2	Mr. Trinh The Phuong	045C000383	BOD Member			18/03/2015			
3	Mrs. Ho Viet Ha	045C002698	BOD Member			15/01/2020			
4	Mr. Tran Tuan Anh	045C000123	BOD Member			28/04/2022			
5	Mrs. Phan Quynh Nga	045C000123	BOD Member			26/04/2023			

Note: Owner Certificate Number: ID/Passport No. (for individual) or Business Registration Certificate No., License of Operation No. or equivalent legal document (for institution):*

❖ Supervisory Board

No.	Name of Organization/ Individual	Securities Trading Account (if any)	Position at the Company (if any)	ID/Passport No.*, Date of Issue, Place of Issue	Address	Time of Becoming Related Person	Time of Ceasing to be Related Person	Reasons
1	Ms. Nguyen Thi An		From 26/04/2023: Head of Supervisory Board			27/04/2018		
2	Mr. Bui The Anh		Member of Supervisory Board			15/04/2016		

3	Ms. Nguyen Thi Thu		Member of Supervisory Board			26/04/2023		
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Note: Owner Certificate Number*: ID/Passport No. (for individual) or Business Registration Certificate No., License of Operation No. or equivalent legal document (for institution):

❖ Ban điều hành

No.	Name of Organization/ Individual	Securities Trading Account	Position at the Company (if any)	ID/Passport No.*, Date of Issue, Place of Issue	Address	Time of Becoming Related Person	Time of Ceasing to be Related Person	Reason
1.	Mrs. Phan Quynh Nga	045C000123	Director			10/02/2022		Appointed Deputy Director of the Company on 27/04/2023 then appointed as Director
2.	Mrs. Phan Quynh Nga		Acting Director of HCMC Branch			23/06/2023	10/10/2024	Ended position as Acting Director of HCMC Branch
3.	Mrs. Luu Thi Viet Ha	045C001482	Deputy Director			11/09/2024		Appointed
4.	Mrs. Luu Thi Viet Ha		Acting Director of HCMC Branch			10/10/2023		Appointed Acting Director of HCMC Branch
5.	Mrs. Nguyen Thi Hong		Head of Accounting Dept cum Chief Accountant			01/04/2024	18/03/2025	Resigned
6.	Mrs. Nguyen Thi Hang		Head of Accounting Dept cum Acting Chief Accountant			18/03/2025		Head of Accounting cum Acting Chief Accountant

No.	Name of Organization/ Individual	Securities Trading Account	Position at the Company (if any)	ID/Passport No.*, Date of Issue, Place of Issue	Address	Time of Becoming Related Person	Time of Ceasing to be Related Person	Reason
7.	Mr. Le Duc Cuong		Deputy Head of Accounting Department cum Person in Charge of Accounting			22/12/2025		Deputy Head of Finance and Accounting Department cum Person in Charge of Accounting
8.	Ms. Pham Thi Hai Van		Director, Vung Tau Branch			20/7/2010		
9.	Ms. Dao Thi Phuoc		Director, Da Nang Branch			29/7/2019		

❖ *Insiders*

No.	Name of Organization/ Individual	Securities Trading Account	Position at the Company (if any)	ID/Passport No., Date of Issue, Place of Issue	Address	Time of Becoming Related Person	Time of Ceasing to be Related Person	Reason
1	Mr. Nguyen Anh Tuan	045C505152	Chairman of the Board of Directors			30/03/2016		
2	Mr. Trinh The Phuong	045C000383	Member of the Board of Directors			18/03/2015		
3	Mrs. Ho Viet Ha	045C002698	Member of the Board of Directors			15/01/2020		
4	Mr. Tran Tuan Anh		Member of the Board of Directors			28/04/2022		
5	Mrs. Phan Quynh Nga	045C000123	Member of the Board of Directors			26/04/2024		

No.	Name of Organization/ Individual	Securities Trading Account	Position at the Company (if any)	ID/Passport No., Date of Issue, Place of Issue	Address	Time of Becoming Related Person	Time of Ceasing to be Related Person	Reason
6	Mr. Bui The Anh		Member of the Supervisory Board			15/04/2016		
8	Mrs. Nguyen Thi An		Head of Supervisory Board (since 26/04/2023)			27/04/2018		
9	Mrs. Nguyen Thi Thu	045C241109	Member of the Supervisory Board			26/04/2023		
10	Mrs. Phan Quynh Nga	045C000123	Member of the Board of Directors - Director of the Company			10/02/2022		Appointed Deputy Director of the Company → Appointed as Director and BOD member on 27/04/2023
			Concurrently Director of HCMC Branch			23/06/2023	10/10/2024	Concurrent assignment as Director of HCMC Branch
11	Mrs. Luu Thi Viet Ha		Deputy Director			11/09/2024		Appointed
			Concurrently Director of HCMC Branch			10/10/2024		Concurrent assignment as Director of HCMC Branch
14	Mrs. Nguyen Thi Hong		Head of Finance & Accounting cum In-charge of Accounting			01/04/2024	18/03/2025	Resigned
15	Mrs. Nguyen Thi Hang		Head of Finance & Accounting cum Acting In-			18/03/2025		Assigned as Head of Finance &

No.	Name of Organization/ Individual	Securities Trading Account	Position at the Company (if any)	ID/Passport No., Date of Issue, Place of Issue	Address	Time of Becoming Related Person	Time of Ceasing to be Related Person	Reason
			charge of Accounting					Accounting cum In-charge of Accounting
16	Mr. Le Duc Cuong		Deputy Head of Accounting Department cum Person in Charge of Accounting			22/12/2025		Deputy Head of Finance and Accounting Department cum Person in Charge of Accounting
17	Mrs. Dao Thi Phuoc		Director of Da Nang Branch			29/07/2019		
18	Mrs. Le Thi Phuong Hoa	045C001879	Company Secretary			10/03/2020		
			Person in Charge of Corporate Governance			26/08/2024		
19	Mrs. Tran Tu Lan	045C005020	Authorized Information Disclosure Officer			08/04/2016	31/12/2025	Resigned
			Head of Internal Audit			05/01/2015	31/12/2025	Resigned
20	Mr. Nguyen Thanh Nghi		Authorized Person for Information Disclosure			31/12/2025		
			Head of Internal Audit Department			31/12/2025		
21	Mr. Vu Hoang Tien	045C005962	Internal Audit Specialist			26/12/2019	30/06/2025	Resigned

❖ *Controlling Company and entities related to the Controlling Company*

No.	Name of Organization/Individual	Securities Trading Account	Position at the Company			No.	Name of Organization/Individual	Securities Trading Account
1.	Vietnam Public Joint Stock Commercial Bank (PVcomBank)		Controlling Organization	0101057919 Dated 01/10/2013	No. 22 Ngo Quyen, Trang Tien Ward, Hoan Kiem District, Hanoi			
2.	PVcomBank Fund Management Company Limited			License No. 48/GPDC-UBCK dated 10/08/2020	9th Floor, Hapro Building, No. 11B Cat Linh, Quoc Tu Giam Ward, Dong Da District, Hanoi	04/08/2024		
3.	PVcomBank AMC - One Member Limited Liability Company for Asset Management and Exploitation of Vietnam Public Joint Stock Commercial Bank			Registration Certificate No. 1800805372, First issued on 24/02/2009; 13th revision on 24/10/2019 by Ho Chi Minh City Department of Planning and Investment	9th Floor, Hapro Building, No. 11B Cat Linh, Quoc Tu Giam Ward, Dong Da District, Hanoi	01/10/2013		

❖ *Enterprise owning over 10% of voting shares*

No.	Shareholder Information					Shareholding Ratio Information			
	Full Name / Company Name	ID/Business Registration No.	Date of Issue	Address	Nationality	Start of Year		31/12/2025	
						Number of Shares	% of Charter Capital	Number of Shares	% of Charter Capital
1	Vietnam Public Joint Stock Commercial Bank	0101057919	01/10/2013	No. 22, Ngo Quyen, Trang Tien Ward, Hoan Kiem District, Hanoi	Vietnam	30,622,674	51.17%	30,622,674	51.17%

2. Transactions between the company and related persons of the company; or between the company and major shareholders, insiders, and persons related to insiders:

No.	Name of Organization/Individual	Nature of Relationship with the Company	Business Registration Certificate No., Date & Place of Issue	Date of Issue	Date of Transaction with the Company	Resolution/Decision No. Approved by GMS/BOD	Content, Quantity, Total Transaction Value	Note
1	PVcomBank Fund Management Joint Stock Company (PVCB Capital) Related party of PSI	0102574966	14/12/2007	28/02/2025	Resolution No. 01/NQ-BOD-CKDK dated 13/01/2025	630,136,987		
2	PVcomBank Fund Management Joint Stock Company (PVCB Capital)	Related party of PSI	0102574966	14/12/2007	14/03/2025		1,642,808,219	
3	PVcomBank Fund Management Joint Stock Company (PVCB Capital)	Related party of PSI	0102574966	14/12/2007	29/05/2025		20,616,438,356	
4	PVcomBank Fund Management Joint Stock Company (PVCB Capital)	Related party of PSI	0102574966	14/12/2007	13/06/2025		2,363,013,699	
5	Vietnam Public Joint Stock Commercial Bank (PVcomBank)	Related party of PSI	0101057919	01/10/2013	26/06/2025	Resolution No. 15/NQ-HĐQT-CKDK dated 25/06/2025	330,000,000	
6	Mr. Trinh The Phuong	Member of BOD	038077005775	06/03/2018	25/08/2025	Resolution No. 20/NQ-HĐQT-CKDK dated 21/08/2025	7,162,476,869	
7	PetroVietnam Power Corporation – Joint Stock Company	Related party of PSI	0102276173	31/05/2007	17/10/2025	Resolution No. 21/NQ-HĐQT-CKDK dated 25/09/2025	410,000,000	

3. Transactions between insiders of the listed company, or persons related to such insiders, and subsidiaries or companies controlled by the listed company
No transactions occurred
4. Transactions between the company and other parties
 - 4.1. Transactions between the company and any enterprise in which a member of the Board of Directors, a member of the Supervisory Board, or an executive has been or is currently a founding member, or has served or is serving as a member of the Board of Directors or an executive within the past three (03) years (as of the reporting date)
Transactions occurred.
 - 4.2. Transactions between the company and any enterprise in which a related person of a member of the Board of Directors, a member of the Supervisory Board, or an executive is serving as a member of the Board of Directors or as an executive
No transactions occurred.
 - 4.3. Other transactions of the company (if any) that may confer material or immaterial benefits to members of the Board of Directors, members of the Supervisory Board, or executives
No transactions occurred.

VII. Transactions by Insiders and Related Persons

No transactions occurred.

VIII. Other Noteworthy Matters

There are no other matters to be noted during the of 2025.

Recipients:

- As above;
- Board of Directors, Supervisory Board (for supervision and direction);
- Executive Board (for implementation);
- Heads of PSI Departments (for information);
- Archive: Admin Dept, BOD.

CHAIRMAN

OF THE BOARD OF DIRECTORS



Nguyen Anh Tuan